

TriVant Custom Portfolio Group, LLC
Form CRS Customer Relationship Summary
March 14, 2023

TriVant Custom Portfolio Group, LLC. (“TCPG”) is an investment adviser registered with the U.S. Securities and Exchange Commission (“SEC”). Fees for brokerage and investment advisory services differ and it is important for you to understand those differences. Free and simple tools are available to you to research us, other firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), where you may also find important educational materials about broker-dealers, investment advisers and investing.

What investment services and advice can you provide me?

Ask your financial professional the following questions:

Given my financial situation, should I choose an investment advisory service? Why or why not?
How will you choose investments to recommend to me?
What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

We offer investment advisory services to retail investors, like you. The advice provided by us is customized to each client’s needs, objectives, and goals. At the onset of the client relationship, we memorialize each client’s investment objectives, risk tolerance, investment guidelines, time horizons, tax status, liquidity requirements, and other necessary information. The information provided by the client, together with any other information relating to the client’s overall financial circumstances, will be used by us to determine the appropriate portfolio asset allocation and investment strategy. Clients can impose reasonable guidelines and/or restrictions on investing in certain securities or types of securities. Additionally, the Firm serves as General Partner to an affiliated private pooled investment vehicle Soteria Fund L.P. (the “Fund”), a California limited Partnership established in August of 2018.

We manage accounts on a discretionary basis. Discretionary basis means our firm has authority to determine the type of securities bought and sold, the dollar amounts of the securities to be bought and sold and whether a client’s transaction should be combined with those of other clients and traded as a “block” without consulting you first. We maintain this discretion until it is revoked (e.g., by termination). Our firm usually requires a minimum initial investment of \$200,000. We reserve the right to accept or decline a potential client for any reason in its sole discretion.

For further information about our services and advice please read Items 4, 7, and 8 of our Form ADV Part 2A disclosure brochure. Contact us at (619) 286-3930 for a copy or go to www.adviserinfo.sec.gov.

What fees will I pay?

Our Firm generally charges an annual management fee ranging from .5% to .875% based upon a percentage of a client’s assets under management. Our fees are assessed quarterly in arrears and calculated as of the close of business on the last business day of the calendar quarter. If a client open an account during the quarter, management fees will be prorated for assets held for a partial quarter based on the number of days that the account was open during the quarter. In the event that our services are terminated mid-quarter, the annual fee shall be prorated through the date of termination. Regarding fees for serving as General Partner to the Soteria Fund L.P., the Fund does not pay an ongoing management Fee to the General Partner but will be assessed a Profit Allocation by the General Partner. However, as an incentive to promote investment success, the General Partner is entitled to receive a special allocation of Net Profit (a “Profit Allocation”) equal to 20% of the Net Profit (including both realized and unrealized gains and losses) allocated to each Limited Partner based on each Limited Partner’s Capital Account. You will pay additional fees to third parties in connection with your investment management account that include, but are not limited to, custodial fees, mutual fund 12b-1 fees, and certain deferred sales charges on previously purchased mutual funds transferred into the account.

Ask one of our financial professionals the following questions:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

More detailed information about our fees and other costs associated with investing, along with applicable conflicts can be found in Items 5, 10, and 14 of our Form ADV Part 2A disclosure brochure. Contact us at (619) 286-3930 for a copy or go to www.adviserinfo.sec.gov.

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. Because we earn more as the value of the account increases, we can be motivated to place your assets in a more aggressive or risky manner in order to inflate the portfolio value and earn higher compensation. Additionally, as our Firm serves as the General Partner for the Soteria Fund, L.P., the Firm can offer the Fund as a recommendation to our clients. You should be aware the receipt of performance fees within the Fund by us creates a conflict of interest, whereby we can be influenced to recommend the Fund to our clients and potentially earn greater compensation. Clients are under no obligation to act upon any such recommendation. To mitigate these conflicts, we have adopted certain supervisory policies and procedures, and our Code of Ethics, which sets forth the professional and fiduciary standards that associated persons must follow. You should understand and ask us about this conflict because it can affect the investment advice we provide you. Information regarding the financial and outside business activities of our Investment Adviser Representatives can be found on the Security and Exchange Commission’s website at www.https://adviserinfo.sec.gov/

Ask your financial professional:
How might your conflicts of interest affect me, and how will you address them?

More detailed information about our conflicts of interest can be found in Items 4, 5, 8, 10, 11, 12, and 14 of our Form ADV Part 2A disclosure brochure. Contact us at (619) 286-3930 for a copy or go to www.adviserinfo.sec.gov.

How do your financial professionals make money?

The Firm’s owners are is Dan Laimon (Managing Member), John Barber (Chief Investment Officer and Chief Compliance Officer), and Michael Harris (Vice President). For this, each receives a base salary and proportional profits from the earnings of the firm. Additional information about compensation arrangements for our professionals and related conflicts of interest are found in the Firm’s Form(s) ADV Part 2B. Form ADV Part 2B for any of our Investment Adviser Representatives is available through us by request at: 600 W Broadway Suite 225 San Diego, CA. 92101 or by phone at (619) 286-3930.

Do you or your financial professionals have legal or disciplinary history?

Yes. Please go to www.Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Ask your financial professional:
As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

Additional information and a copy of this Form CRS can be found at www.adviserinfo.sec.gov by searching “TriVant Custom Portfolio Group” or by contacting us at 600 W Broadway Suite 225, San Diego, CA. 92101 or by phone at (619) 286-3930.

Ask your financial professional:
Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?